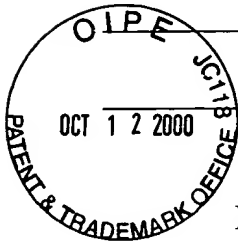


I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on ~~September~~ *October* 9, 2000.

Michael H. Baniak  
Name of Applicant, Assignee, or Registered Representative

*[Signature]*  
Signature

*10/9/00*  
Date of Signature



*#2*  
PATENT  
Case No. 3212/3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Kerimcan Engin and Robert C. Osborne

Serial No. 09/589,253

Filed: June 7, 2000

Title: METHOD AND SYSTEM FOR  
EXCHANGE OF FINANCIAL  
INVESTMENT ADVICE

Examiner:

Group Art Unit: 2761

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicants bring to the attention of the Examiner the documents listed on the enclosed form PTO-1449. The documents listed are also enclosed. Applicants request that these documents be reviewed, considered and made of record.

Respectfully submitted,

*October 9*  
~~September~~, 2000

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1603 Orrington Avenue, Suite 2000  
Evanston, Illinois 60201  
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